

Annexe :

Protection des données

Dans le cadre du marché, la GIZ traite les données à caractère personnel uniquement dans le respect du Règlement général sur la protection des données (RGPD) de l'Union européenne et d'autres dispositions applicables en matière de protection des données. Ces données sont enregistrées et traitées par la GIZ dans la mesure où cela est nécessaire pour l'exécution du contrat. Le contractant a le droit de consulter, effacer ou rectifier ces données et peut s'adresser à la GIZ (datenschutzbeauftragter@giz.de) ou aux autorités publiques compétentes pour faire respecter ses droits.

Le contractant respecte les dispositions applicables en matière de protection des données et exige leur respect de la part de ses collaboratrices et collaborateurs.

Le contractant veille à ce que les données transmises à la GIZ soient traitées de manière conforme aux directives en vigueur en matière de protection des données et à ce qu'elles soient libres de droits de tiers susceptibles de s'opposer à l'utilisation de ces données dans le cadre du contrat. Le contractant libère la GIZ de toute réclamation pour violation des règles relatives à la protection des données et lui rembourse tous les frais occasionnés dans ce contexte par des mesures de défense juridique ou du fait de sanctions imposées par des organismes publics.

Dans la mesure où le droit applicable en matière de protection des données contient des principes spécifiques s'appliquant obligatoirement à la fourniture des prestations (p. ex. le respect de la mise en œuvre d'exigences techniques de manière à assurer la protection des données dès la conception technique et par défaut), le contractant accordera une importance particulière à la mise en œuvre pratique de ces principes.

Outsourcing of data processing in accordance with Article 28 General Data Protection Regulation (GDPR)

Supplier: *Company Name* [REDACTED]

Location [REDACTED]

Country [REDACTED]

to agreement no. [REDACTED]

1. Duration of the Order or Contract¹

- The duration of this Order or Contract corresponds to the duration of the Service Agreement from 26th of September 2022 to 26th of March 2023.
- The Order or Contract will be authorised for one time execution only.
- The Duration of this Order or Contract is limited to [REDACTED].
- The duration of this Order of Contract ends upon expiry of the last individual request under the Service Agreement.
- The Order or Contract is authorised for an unlimited period and can be cancelled by either Party with a notice period of [REDACTED] to [REDACTED]). This does not prejudice the right to termination of the contract without notice.

2. Specification of the Order or Contract Details

(2.1) Nature and Purpose of the intended Processing of Data²

- Nature and Purpose of Processing of personal data by the Supplier for the Client are precisely defined in the annex to the description of the services and in the bid.
- Detailed description of the Subject matter with regard to the Nature and Purpose of the services provided by the Supplier: [REDACTED]
- The undertaking of the contractually agreed Processing of Data shall be carried out exclusively within a Member State of the European Union (EU) or within a Member State of the European Economic Area (EEA).

Each and every Transfer of Data to a State which is not a Member State of either the EU or the EEA requires the prior agreement of the Client and shall occur only if the specific Conditions of Article 44 et seq. GDPR have been fulfilled. This also applies to a transfer if a state's membership in the EU or the EEA ends during the term of this agreement.

¹ Unless otherwise stated in the document, only one answer has to be ticked

² Several answers are possible

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The adequate level of protection in

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- has been decided by the European Commission (Article 45 Paragraph 3 GDPR);
- is the result of binding corporate rules (Article 46 Paragraph 2 Point b in conjunction with Article 47 GDPR);
- is the result of Standard Data Protection Clauses (Article 46 Paragraph 2 Points c and d GDPR);
- is the result of approved Codes of Conduct (Article 46 Paragraph 2 Point e in conjunction with Article 40 GDPR);
- is the result of an approved Certification Mechanism. (Article 46 Paragraph 2 Point f in conjunction with Article 42 GDPR).
- is established by other means: (Article 46 Paragraph 2 Point a, Paragraph 3 Points a and b GDPR)

(2.2) Type of Data

The Subject Matter of the processing of personal data comprises the following data types/categories (List/Description of the Data Categories)

- Personal Master Data
- Contact data (e.g. phone, email, directories for communication purposes)
- Personal data (e.g. career history, CV, information on the subject's personal situation, additional information supplied by the data subject)
- Data collected in relation to participation in an event
- Contract Billing and Payments Data
- Data that could potentially be used to evaluate the subject's performance or conduct
- Special categories of personal data pursuant to Article 9 EU GDPR (e.g. health data, ethical, religious, political, ideological or any other data relating to the sex life or sexual orientation of a human being)
- Health data in the form of information on food intolerances/diet etc. for event planning
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(2.3) Categories of Data Subjects

The Categories of Data Subjects comprise:

- GIZ 'employees' pursuant to section 26 (8) DSAmpUG-EU including applicants
- Potential Customers

³ Must be completed if processing is to be performed outside the European Union. The third country in which the contractor has its legal seat must be stated here.

⁴ Only one answer must be ticked

- Subscribers
- Third parties involved in forums and working groups
- External participants in GIZ events
- Contact persons in other companies and/or partner institutions
- Individual consultants/appraisers and consulting firm personnel
- Representatives of official bodies and government representatives
- Suppliers...
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3. Technical and organisational measures

- (a) Before the commencement of processing, the Supplier shall document the execution of the necessary Technical and Organisational Measures, set out in advance of the awarding of the Order or Contract, specifically with regard to the detailed execution of the contract, and shall present these documented measures to the Client for inspection. Upon acceptance by the Client, the documented measures become the foundation of the contract. Insofar as the inspection/audit by the Client shows the need for amendments, such amendments shall be implemented by mutual agreement.
- (b) The Supplier shall establish the security in accordance with Article 28 Paragraph 3 Point c, and Article 32 GDPR in particular in conjunction with Article 5 Paragraph 1, and Paragraph 2 GDPR. The measures to be taken are measures of data security and measures that guarantee a protection level appropriate to the risk concerning confidentiality, integrity, availability and resilience of the systems. The state of the art, implementation costs, the nature, scope and purposes of processing as well as the probability of occurrence and the severity of the risk to the rights and freedoms of natural persons within the meaning of Article 32 Paragraph 1 GDPR must be taken into account.
- (c) The Technical and Organisational Measures are subject to technical progress and further development. In this respect, it is permissible for the Supplier to implement alternative adequate measures. In so doing, the security level of the defined measures must not be reduced. Substantial changes must be documented.

4. Authority of the Client to issue instructions

- (a) The Supplier may process personal data, including with regard to transfers of personal data to a third country or an international organisation, only under the terms of the agreements concluded and in accordance with the instructions issued by the Client, unless he is obliged to perform another type of processing in accordance with EU law or with the Member State law to which the Supplier is subject (e.g. in the event of investigations by law enforcement or state protection authorities); in this case, the Supplier shall notify the Client of these legal requirements prior to processing, unless the law in question prohibits notification on important grounds of public interest (Article 28(3)(2)(a) GDPR).
- (b) The Client shall promptly confirm any oral instructions (at least in text form) to the Supplier.

- (c) The Supplier shall inform the Client immediately if it believes that an instruction issued by the Client infringes legal data protection provisions. The Supplier is entitled to postpone the execution of the relevant instruction until it is confirmed or changed by the Client.

5. Rectification, restriction, forwarding and erasure of data

- (a) The Supplier may not on its own authority rectify, erase or restrict the processing of data that is being processed on behalf of the Client, but only on documented instructions from the Client. Insofar as a Data Subject contacts the Supplier directly concerning a rectification, erasure, or restriction of processing, the Supplier will immediately forward the Data Subject's request to the Client.
- (b) Insofar as it is included in the scope of services, the erasure policy, 'right to be forgotten', rectification, data portability and access shall be ensured by the Supplier in accordance with documented instructions from the Client without undue delay.
- (c) The Supplier is permitted to pass on information to third parties or to the data subject only if instructed to do so by the Client in text form.

6. Quality assurance and other duties of the Supplier

In addition to complying with the rules set out in this Order or Contract, the Supplier shall comply with the statutory requirements referred to in Art. 28 to 33 GDPR; accordingly, the Supplier ensures, in particular, compliance with the following requirements:

- a) Data Protection Officer, who performs his/her duties in compliance with Articles 38 and 39 GDPR.
- The Client shall be informed of his/her contact details for the purpose of direct contact. The Client shall be informed immediately of any change of Data Protection Officer.
 - The Supplier has appointed Mr/Ms [] [enter: given name, surname, organisational unit, telephone, e-mail] as Data Protection Officer. The Client shall be informed immediately of any change of Data Protection Officer.
 - His/Her current contact details are always available and easily accessible on the website of the Supplier.
- b) The Supplier is not obliged to appoint a Data Protection Officer. Mr/Ms [] [enter: given name, surname, organisational unit, telephone, email] is designated as the Contact Person on behalf of the Supplier.
- c) As the Supplier is established outside the EU & EEA it designates the following Representative within the Union pursuant to Article 27 Paragraph 1 GDPR: Mr/Ms [] [enter: given name, surname, organisational unit, telephone, email].
- d) Confidentiality in accordance with Article 28 Paragraph 3 Sentence 2 Point b, Articles 29 and 32 Paragraph 4 GDPR. The Supplier entrusts only such employees with the data processing outlined in this contract who have been bound to confidentiality and have previously been familiarised with the data protection provisions relevant to their work. The Supplier and any person acting under its authority who has access to personal data, shall not process that data unless on instructions from the Client, which includes the powers granted in this Annex, unless required to do so by law.
- e) Implementation of and compliance with all Technical and Organisational Measures necessary for this Order or Contract in accordance with Article 28 Paragraph 3 Sentence 2 Point c, Article 32 GDPR [details in Appendix 1].

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- f) The Client and the Supplier shall cooperate, on request, with the supervisory authority in performance of its tasks.
- g) The Client shall be informed immediately of any inspections and measures conducted by the supervisory authority, insofar as they relate to this Order or Contract. This also applies insofar as the Supplier is under investigation or is party to an investigation by a competent authority in connection with infringements to any Civil or Criminal Law, or Administrative Rule or Regulation regarding the processing of personal data in connection with the processing of this Order or Contract.
- h) Insofar as the Client is subject to an inspection by the supervisory authority, an administrative or summary offence or criminal procedure, a liability claim by a Data Subject or by a third party or any other claim in connection with the Order or Contract data processing by the Supplier, the Supplier shall make every effort to support the Client.
- i) The Supplier shall periodically monitor the internal processes and the Technical and Organisational Measures to ensure that processing within his area of responsibility is in accordance with the requirements of applicable data protection law and the protection of the rights of the data subject.
- j) Verifiability of the Technical and Organisational Measures conducted by the Client as part of the Client's supervisory powers referred to in item 7 of this Annex.

7. Subcontracting⁵

- (a) Subcontracting for the purpose of this Agreement is to be understood as meaning services which relate directly to the provision of the principal service. This does not include ancillary services, such as telecommunication services, postal / transport services, as well as other measures to ensure the confidentiality, availability, integrity and resilience of the hardware and software of data processing equipment. Maintenance and testing services shall constitute a subcontracting relationship if they are performed for IT systems provided in connection with a service of the contractor under this agreement. The Supplier shall be obliged to make appropriate and legally binding contractual arrangements and take appropriate inspection measures to ensure the data protection and the data security of the Client's data, even in the case of outsourced ancillary services.
- (b) The Supplier may commission subcontractors (additional contract processors) only after prior explicit written or documented consent from the Client.
 - i.) Subcontracting is not permitted.
 - ii.) The Client agrees to the commissioning of the following subcontractors on the condition of a contractual agreement in accordance with Article 28 paragraphs 2-4 GDPR:

Company subcontractor	Address/country	Service

- (c) Outsourcing to subcontractors or Changing the existing subcontractor are permissible insofar as:

⁵ To be completed by the Client

Handwritten signature

- The Supplier submits such outsourcing to a subcontractor to the Client in writing or in text form with appropriate advance notice; and
 - The Client has not objected to the planned outsourcing in writing or in text form by the date of handing over the data to the Supplier; and
 - The subcontracting is based on a contractual agreement in accordance with Article 28 paragraphs 2-4 GDPR.
- (d) The transfer of personal data from the Client to the subcontractor and the subcontractor's commencement of the data processing shall only be undertaken after compliance with all requirements has been achieved.
- (e) If the subcontractor provides the agreed service outside the EU/EEA, the Supplier shall ensure compliance with EU Data Protection Regulations by appropriate measures. The same applies if service providers are to be used within the meaning of Paragraph 1 Sentence 2.
- (f) Further outsourcing by the subcontractor
- Is not permitted;
 - Requires the express consent of the main Client (at the minimum in text form);
- All contractual provisions in the contract chain shall be communicated to and agreed with each and every additional subcontractor.
- (g) All contracts concluded with subcontractors must grant monitoring and inspection rights to the Client in accordance with section 8 below.

8. Supervisory powers of the Client

- (a) The Client has the right, after consultation with the Supplier, to carry out inspections or to have them carried out by an auditor to be designated in each individual case. It has the right to convince itself of the compliance with this agreement by the Supplier in his business operations by means of random checks, which are ordinarily to be announced in good time.
- (b) (The Supplier shall ensure that the Client is able to verify compliance with the obligations of the Supplier in accordance with Article 28 GDPR. The Supplier undertakes to give the Client the necessary information on request and, in particular, to demonstrate the execution of the Technical and Organisational Measures.
- (c) Evidence of such measures, which concern not only the specific Order or Contract, may be provided by⁶
- i. Compliance with approved Codes of Conduct pursuant to Article 40 GDPR;
 - ii. Certification according to an approved certification procedure in accordance with Article 42 GDPR;
 - iii. Current auditor's certificates, reports or excerpts from reports provided by independent bodies (e.g. auditor, Data Protection Officer, IT security department, data privacy auditor, quality auditor);
 - iv. A suitable certification by IT security or data protection auditing (e.g. according to BSI-Grundschutz (IT Baseline Protection certification developed by the German Federal Office for Security in Information Technology (BSI))).
- (d) The processing of data in a private dwelling is permitted only where approved in individual cases by the Client. If data are to be processed in a private dwelling, arrangements for the Client to access the dwelling must be agreed in advance with the Supplier. The Supplier shall provide an assurance that the other residents in the private dwelling have agreed to this arrangement.

⁶ To be completed by the Client; the right to perform on-site inspections is reserved.

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9. Support to be provided by the Supplier to the Client

The Supplier shall assist the Client in complying with the obligations concerning the security of personal data, reporting requirements for data breaches, data protection impact assessments and prior consultations, referred to in Articles 30 to 36 of the GDPR. These include:

- i. Ensuring an appropriate level of protection through Technical and Organisational Measures that take into account the circumstances and purposes of the processing as well as the projected probability and severity of a possible infringement of the law as a result of security vulnerabilities and that enable an immediate detection of relevant infringement events.
- ii. The obligation to report a personal data breach immediately to the Client.
- iii. The duty to assist the Client with regard to the Client's obligation to provide information to the Data Subject to exercise the rights referred to in Chapter III of the GDPR and, in this context, to provide the Client with all relevant information without undue delay.
- iv. Supporting the Client in meeting its duty to produce submissions to the records of processing activities pursuant to Article 30 EU GDPR in respect of those sub-processes performed by the contractor.
- v. Supporting the Client with its data protection impact assessment.
- vi. Supporting the Client with regard to prior consultation of the supervisory authority.

10. Deletion and return of personal data

- (a) Copies or duplicates of the data shall never be created without the knowledge of the Client, with the exception of back-up copies as far as they are necessary to ensure orderly data processing, as well as data required to meet regulatory requirements to retain data.
- (b) After conclusion of the contracted work, or earlier upon request by the Client, at the latest upon termination of the Service Agreement, the Supplier shall hand over to the Client or – subject to prior consent – destroy all documents, processing and utilisation results, and data sets related to the contract that have come into its possession, in a data-protection compliant manner. The same applies to any and all connected test, waste, redundant and discarded material. The log of the destruction or deletion shall be provided on request.
- (c) Documentation which is used to demonstrate orderly data processing in accordance with the Order or Contract shall be stored beyond the contract duration by the Supplier in accordance with the respective retention periods. It may hand such documentation over to the Client at the end of the contract duration to relieve the Supplier of this contractual obligation. The Supplier is not entitled to invoke the right of retention provided for in Section 273 of the German Civil Code (BGB) with respect to the processed data and the associated data media.
- (d) In regard to the processed data and the related data carriers, objection to the right of retention within the meaning of Section 273 of the German Civil Code (BGB) is excluded.

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Note on the Technical and Organisational Measures (TOM)

The technical and organisational measures (TOM) shall be submitted by the supplier and attached as an annex. The data protection management team will check the TOM during the process of order placing or the awarding process.

For your information we expect within the TOM information, in accordance with the GDPR, on the following aspects:

1. Confidentiality (Article 32 Paragraph 1 Point b GDPR)

- **Physical Access Control**
No unauthorised access to Data Processing Facilities, e.g.: magnetic or chip cards, keys, electronic door openers, facility security services and/or entrance security staff, alarm systems, video/CCTV Systems;
- **Electronic Access Control**
No unauthorised use of the Data Processing and Data Storage Systems, e.g.: (secure) passwords, automatic blocking/locking mechanisms, two-factor authentication, encryption of data carriers/storage media;
- **Internal Access Control (permissions for user rights of access to and amendment of data)**
No unauthorised Reading, Copying, Changes or Deletions of Data within the system, e.g. rights authorisation concept, need-based rights of access, logging of system access events
- **Isolation Control;**
The isolated Processing of Data, which is collected for differing purposes, e.g. multiple client support, sandboxing;
- **Pseudonymisation (Article 32 Paragraph 1 Point a GDPR; Article 25 Paragraph 1 GDPR)**
The processing of personal data in such a method/way, that the data cannot be associated with a specific Data Subject without the assistance of additional information, provided that this additional information is stored separately, and is subject to appropriate technical and organisational measures.

2. Integrity (Article 32 Paragraph 1 Point b GDPR)

- **Data Transfer Control**
No unauthorised Reading, Copying, Changes or Deletions of Data with electronic transfer or transport, e.g.: Encryption, Virtual Private Networks (VPN), electronic signature;
- **Data Entry Control**
Verification, whether and by whom personal data is entered into a Data Processing System, is changed or deleted, e.g.: Logging, Document Management.

3. Availability and resilience (Article 32 Paragraph 1 Point b GDPR)

- **Availability Control**
Prevention of accidental or wilful destruction or loss, e.g.: Backup Strategy (online/offline; on-site/off-site), Uninterruptible Power Supply (UPS), virus protection, firewall, reporting procedures and contingency planning
- **Rapid Recovery (Article 32 Paragraph 1 Point c GDPR)**

4. Procedures for regular testing, assessment and evaluation (Article 32 Paragraph 1 Point d GDPR; Article 25 Paragraph 1 GDPR)

- Data Protection Management
- Incident Response Management
- Data Protection by Design and Default (Article 25 Paragraph 2 GDPR)
- Order or Contract Control
No third party data processing as per Article 28 GDPR without corresponding instructions from the Client, e.g.: clear and unambiguous contractual arrangements, formalised Order Management, strict controls on the selection of the Service Provider, duty of pre-evaluation, supervisory follow-up checks.